

## ORIGINAL ARTICLE

# Phase 1 study of twice weekly pulse dose and daily low-dose erlotinib as initial treatment for patients with *EGFR*-mutant lung cancers<sup>†</sup>

H. A. Yu<sup>1,2\*</sup>, C. Sima<sup>3</sup>, D. Feldman<sup>1</sup>, L. L. Liu<sup>4,5</sup>, B. Vaitheesvaran<sup>6</sup>, J. Cross<sup>6</sup>, C. M. Rudin<sup>1,2</sup>, M. G. Kris<sup>1,2</sup>, W. Pao<sup>7</sup>, F. Michor<sup>4,5</sup> & G. J. Riely<sup>1,2</sup>

<sup>1</sup>Thoracic Oncology Service, Division of Solid Tumor Oncology, Department of Medicine, Memorial Sloan Kettering Cancer Center, New York; <sup>2</sup>Weill Cornell Medical College, New York; <sup>3</sup>Department of Epidemiology and Biostatistics, Memorial Sloan Kettering Cancer Center, New York; <sup>4</sup>Department of Biostatistics and Computational Biology, Dana-Farber Cancer Institute; <sup>5</sup>Department of Biostatistics, Harvard T.H. Chan School of Public Health, Boston, MA; <sup>6</sup>Donald B. and Catherine C. Marron Cancer Metabolism Center, Sloan Kettering Institute, Sloan Kettering Cancer Center, New York; <sup>7</sup>Department of Medicine, Vanderbilt-Ingram Cancer Center, Nashville, TN

<sup>†</sup>This study was previously presented in part at the 51st Annual Meeting of the American Society of Clinical Oncology, Chicago, IL, May 29–June 2, 2015. The study was registered at [clinicaltrials.gov](http://clinicaltrials.gov) (NCT01967095) and conducted after approval from our institutional review board.

\*Correspondence to: Dr Helena A. Yu, Department of Medicine, Memorial Sloan Kettering Cancer Center, 300 East 66th Street, New York, NY 10065, USA. Tel: +1-646-888-4274; Fax: +1-646-227-7276; E-mail: [yuh@mskcc.org](mailto:yuh@mskcc.org)

**Background:** Patients with *EGFR*-mutant lung cancers treated with *EGFR* tyrosine kinase inhibitors (TKIs) develop clinical resistance, most commonly with acquisition of *EGFR* T790M. Evolutionary modeling suggests that a schedule of twice weekly pulse and daily low-dose erlotinib may delay emergence of *EGFR* T790M. Pulse dose erlotinib has superior central nervous system (CNS) penetration and may result in superior CNS disease control.

**Methods:** We evaluated toxicity, pharmacokinetics, and efficacy of twice weekly pulse and daily low-dose erlotinib. We assessed six escalating pulse doses of erlotinib.

**Results:** We enrolled 34 patients; 11 patients (32%) had brain metastases at study entry. We observed 3 dose-limiting toxicities in dose escalation: transaminitis, mucositis, and rash. The MTD was erlotinib 1200 mg days 1–2 and 50 mg days 3–7 weekly. The most frequent toxicities (any grade) were rash, diarrhea, nausea, fatigue, and mucositis. 1 complete and 24 partial responses were observed (74%, 95% CI 60–84%). Median progression-free survival was 9.9 months (95% CI 5.8–15.4 months). No patient had progression of an untreated CNS metastasis or developed a new CNS lesion while on study (0%, 95% CI 0–13%). Of the 18 patients with biopsies at progression, *EGFR* T790M was identified in 78% (95% CI 54–91%).

**Conclusion:** This is the first clinical implementation of an anti-cancer TKI regimen combining pulse and daily low-dose administration. This evolutionary modeling-based dosing schedule was well-tolerated but did not improve progression-free survival or prevent emergence of *EGFR* T790M, likely due to insufficient peak serum concentrations of erlotinib. This dosing schedule prevented progression of untreated or any new central nervous system metastases in all patients.

**Key words:** *EGFR*-mutant lung cancer, acquired resistance, evolutionary modeling, *EGFR* T790M, pulse dose erlotinib

## Introduction

The majority of patients with *EGFR*-mutant lung cancers will respond to erlotinib, gefitinib or afatinib, but in less than a year develop resistance to further therapy with these agents [1, 2]. The most common mechanism of resistance is acquisition of an *EGFR* T790M mutation, identified in 60% of patients with acquired resistance to *EGFR* TKIs [3, 4]. Third generation *EGFR* TKIs, such as

osimertinib, inhibit *EGFR* T790M and are effective at the time of progression on erlotinib, afatinib or gefitinib [5, 6]. However, acquired resistance occurs with these agents as well [7–9], with the central nervous system being a frequent site of disease progression. A parallel strategy to improve outcomes in patients with *EGFR*-mutant lung cancers is to adjust initial treatment to delay or prevent acquired resistance. While some have investigated *EGFR* TKIs

in combination with other agents [10–12], modulating EGFR TKI dosing to prevent resistance has not been assessed.

Erlotinib was initially developed to inhibit wild-type EGFR [13], and hence the 150-mg daily dose was not optimized to prevent the development of drug resistance in patients whose tumors harbor *EGFR* mutations. Mathematical modeling can predict the evolutionary dynamics that result in proliferation of resistant clones, and suggest potential alternative dosing schedules to delay resistance [14, 15]. We used these methods to evaluate different dosing schedules of erlotinib and identified twice weekly high dose plus daily low-dose erlotinib as better able to delay progression in the setting of pre-existing resistant, EGFR T790M positive cells. The modeling dosing was confirmed in pre-clinical studies using 20  $\mu$ M erlotinib/1  $\mu$ M erlotinib [14].

We previously conducted a study of weekly high-dose erlotinib in unselected patients with advanced lung cancers [16]. The MTD was not reached at erlotinib 2000 mg once weekly. A separate phase 1 study of twice weekly pulse dose erlotinib identified the MTD as erlotinib 1000 mg twice weekly with a DLT of rash seen at higher dose levels [17]. Lower daily doses of EGFR TKIs may be as effective as higher doses with less toxicity in patients with *EGFR*-mutant lung cancers. Series of patients who received erlotinib 25 mg daily and gefitinib 250 mg every other day demonstrated similar progression-free survival compared to standard doses of erlotinib and gefitinib [18, 19]. The available clinical data suggest that EGFR TKI high pulse dosing is tolerable and low daily dosing is effective, but these have not previously been administered together in patients.

CNS involvement is a major issue for patients with *EGFR*-mutant lung cancers, with up to 60% of these patients developing brain or leptomeningeal metastases during their disease course [20, 21]. Although a benefit is commonly seen with EGFR TKIs when CNS disease is already present, duration of CNS control is less well-described. Up to 33% of patients with *EGFR*-mutant lung cancers have CNS progression during initial EGFR TKI therapy and in many patients, the CNS progression occurs in the setting of continued systemic control [20–22]. CNS-only progression may be a result of low drug concentrations, with CSF concentrations of erlotinib 3–5% of that in plasma [23]. Consequently, the CNS becomes a common site of disease progression due to inadequate drug delivery, not acquired drug resistance. Pulse erlotinib produces higher CSF concentrations and may be more effective in the treatment of CNS metastases [24]. With erlotinib 1500 mg once weekly, a peak plasma concentration of 11 300 nM was reached with a concurrent CSF concentration of 120 nM which is above the  $IC_{50}$  of erlotinib [25]. In one series, patients with *EGFR*-mutant lung cancers with CNS involvement were treated with a median dose of erlotinib 1500 mg once weekly. Six of nine had a partial response in the CNS [24].

Based on our evolutionary mathematical modeling and pre-clinical data, we tested the schedule of twice weekly pulse (high dose) erlotinib and daily erlotinib as initial treatment in patients with *EGFR*-mutant lung cancers. With this unique dosing schedule, we aimed to delay the development of acquired resistance.

## Patients and methods

This trial was a prospective, open-label, single-center phase 1 dose-escalation study in patients with *EGFR*-mutant lung cancers. The

primary endpoint of the study was the identification of the maximum tolerated dose of the combination of twice weekly high-dose and daily low-dose erlotinib. Secondary endpoints included the measurement of progression-free survival, overall survival, overall response rate, determination of CNS progression and pharmacokinetic analyses.

Patients had stage IV or recurrent *EGFR*-mutant lung adenocarcinomas and no prior treatment with an EGFR TKI. Prior cytotoxic chemotherapy was allowed. Patients were required to have measurable disease per RECIST (version 1.1). Patients must have had adequate organ function and a Karnofsky Performance Status  $\geq$  70%. Patients with clinically stable brain metastases, either treated or untreated, were eligible.

## Study design

The study used a standard 3 + 3 dose escalation design. Three patients were enrolled at each dose level and assessed for one full cycle before dose escalation. No intra-patient dose escalation was allowed. Patients received pulse dose erlotinib on days 1 and 2, and erlotinib 50 mg oral daily for 5 days on days 3–7 which was repeated weekly to complete 21 day cycles. Cycle 1 was 4 weeks in duration with 1 week of lead-in pulse dose erlotinib only without daily low dose. For each dose level, the dose of pulse erlotinib on days 1–2 was escalated (supplementary Table S1, available at *Annals of Oncology* online). Patients who did not experience a dose-limiting toxicity (DLT) continued treatment at the assigned dose until progression of disease, unacceptable toxicity, or withdrawal of informed consent. Dose reductions of the pulse dose were allowed for toxicity, in 150 mg increments. Once the MTD dosing schedule was determined, the study included a pre-planned dose expansion cohort of 10 patients who were treated at the MTD to further assess treatment tolerability and to obtain preliminary data regarding efficacy.

## Study assessments

Patients were assessed 5 times during cycle 1 (28 days) and then every 21 days. Patient history, physical examination, complete blood count and serum chemistries were performed at each visit. Toxicity was graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events (NCI CTCAE), version 4. Dose-limiting toxicity was defined as any grade 4 hematologic toxicity lasting  $>$ 5 days. All grade 3 or greater nonhematologic toxicities were considered DLTs with diarrhea, nausea and vomiting needing to be grade 3 for 72 h despite maximal supportive care to qualify as a DLT. Once the maximum tolerated dose (MTD) was determined, an additional 10 patients were enrolled at the MTD.

Response to therapy was assessed by interval imaging every 6 weeks with a CT scan with response evaluated per RECIST 1.1. After six cycles on treatment, patients could reduce the radiographic assessments to every 4 cycles (12 weeks).

Rebiopsy samples at acquired resistance were assessed for EGFR T790M using a locked nucleic acid based PCR sequencing, and also by our institutional next-generation sequencing platform; the details of both have been previously discussed [4, 26].

## Statistical analysis

Progression-free survival was estimated using the Kaplan–Meier method, and defined as the time from start of study therapy until progression or death. Patients who did not experience the event of interest were censored at the date they came off study or date of last assessment if still receiving study therapy. Response rates were calculated using binomial proportions and exact 95% CIs. All statistical analyses were performed using R 3.2.2 (R Development Core Team) including the ‘survival’ and ‘Hmisc’ packages.

## Pharmacokinetic analysis

Please see the [Supplementary Material](#).

**Table 1. Baseline patient and disease characteristics**

Characteristic	n=34
Age, Median (range), years	61 (33–77)
Sex	
Female	18
Male	16
KPS (%)	
≥90	16
80	15
70	3
Smoking status	
Former (pack-year range)	12 (<1–35)
Never	22
EGFR sensitizing mutation	
L858R	12
Exon 19 deletion	21
G719A	1
Prior chemotherapy	
Yes	5
No	29
CNS involvement at diagnosis	
No	23
Yes (untreated/treated)	11 (6/5)

**Table 2. Study drug-related adverse events seen in ≥10% of patients**

CTCAE term	Grade 1	Grade 2	Grade 3	Grade 4	Total (any grade)
Rash	21 (62%)	8 (24%)	1 (3%)	0	30 (88%)
Diarrhea	24 (71%)	1 (3%)	4 (12%)	0	29 (85%)
Nausea	10 (29%)	3 (9%)	2 (6%)	0	15 (44%)
Fatigue	8 (24%)	4 (12%)	0	0	12 (35%)
Mucositis	8 (24%)	3 (9%)	1 (3%)	0	12 (35%)
Pruritis	11 (32%)	0	0	0	11 (32%)
Vomiting	9 (26%)	1 (3%)	1 (3%)	0	11 (32%)
Bilirubin increased	4 (12%)	5 (15%)	2 (6%)	0	11 (32%)
Dry skin	10 (29%)	0	0	0	10 (29%)
ALT elevated	6 (18%)	1 (3%)	1 (3%)	0	8 (24%)
Alopecia	7 (21%)	0	0	0	7 (21%)
AST increased	5 (15%)	1 (3%)	0	0	6 (18%)
Paronychia	4 (12%)	2 (6%)	0	0	6 (18%)
Anorexia	3 (9%)	2 (6%)	0	0	5 (15%)
Anemia	2 (6%)	1 (3%)	1 (3%)	0	4 (12%)

## Modeling tumor evolutionary dynamics

Please see the [Supplementary Material](#).

## Results

### Patients

From November 2013 to January 2015, 34 patients were enrolled onto this study, including 24 patients in the dose escalation

portion and 10 patients in an expansion cohort at the MTD. In total, 16 patients were treated at the MTD. The clinical characteristics of all patients are listed in Table 1.

### Determination of the maximum tolerated dose

There were no DLTs seen at the 600, 750 and 900 mg pulse dose levels. At the erlotinib 1050 mg pulse dose, there was one DLT of grade 3 transaminitis ([supplementary Table S1](#), available at *Annals of Oncology* online). At 1350 mg pulse dose level, there were two DLTs: grade 3 rash and grade 3 mucositis. The 1200 mg pulse on days 1 and 2 and 50 mg erlotinib on days 3–7 was determined to be the MTD.

### Toxicity

All 34 patients were evaluable for toxicity (Table 2). No grade 4 or greater toxicities were reported, with no deaths on study. Most drug-related toxicities were grade 1 and 2. The most common (≥25%) drug-related adverse events were rash, diarrhea, nausea, fatigue, mucositis, pruritis, vomiting, increased bilirubin and dry skin (Table 2). Six patients were removed from the study for toxicity: hyperbilirubinemia (1), possible pneumonitis (1), diarrhea (2), transaminitis (1) and mucositis (1).

### Efficacy

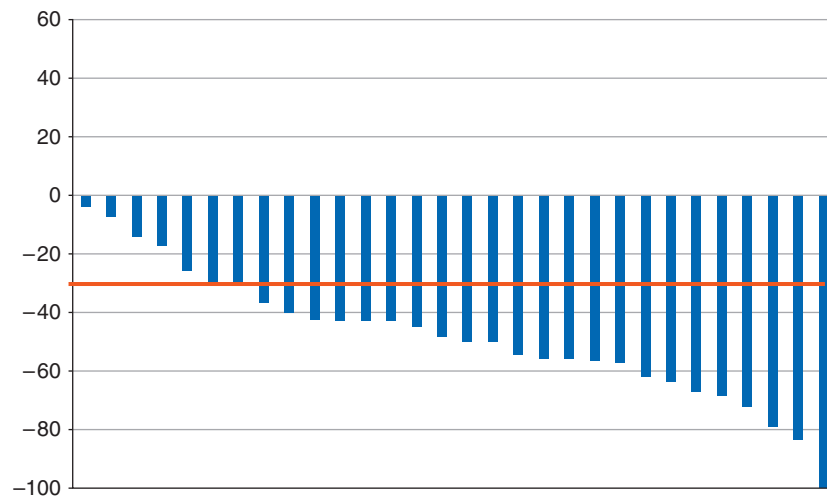
All 34 patients had baseline radiographic assessments. Four patients came off study prior to the first follow-up radiographic assessment (three due to toxicity, one due to noncompliance) and were counted as nonresponders in our intent to treat analysis. Twenty-four patients had confirmed partial responses and one patient had a confirmed complete response. The overall response rate was 74% (95% CI: 60–84%). All patients had a decrease in the sum of their target lesions (Figure 1). The median progression-free survival was 9.9 months (95% CI 5.8–15.4 months) ([supplementary Figure S3](#), available at *Annals of Oncology* online). The overall survival estimate is not yet mature (with a median follow-up of 18 months). Six subjects have died: four due to lung cancer progression, one due to Parkinson's disease, and one due to a secondary primary gastric cancer. None of the deaths were study-related.

### Central nervous system activity

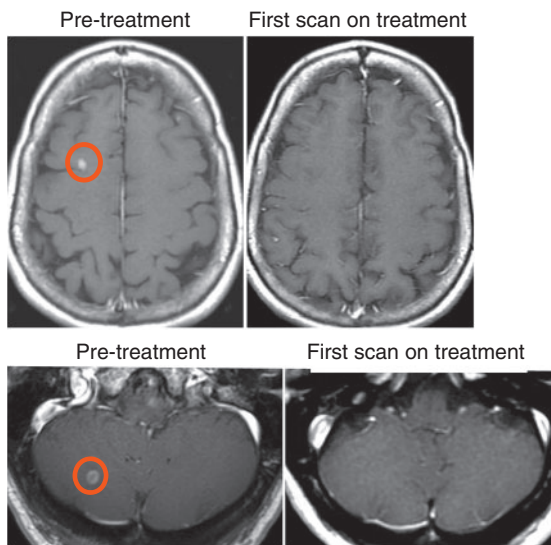
Eleven patients (32%) had brain metastases at study entry (baseline brain MRI was required). No patient had leptomeningeal disease. Prior to the trial, three patients had whole brain radiation, one had stereotactic radiosurgery and one had a surgical resection. All six patients with untreated brain metastases had tumor regression in the CNS (Figure 2). No patients had clinical CNS progression on study treatment. Of the 19 patients who came off study for progression of disease, 16 had CNS imaging when coming off study and none had radiographic evidence of new or progressing brain metastases.

### Mechanisms of acquired resistance

Of the 19 patients that progressed on therapy, 6 continued daily erlotinib at standard doses for ≥ 2 months after discontinuation of study therapy. Nineteen patients had a tumor re-biopsy at the time of progression. One patient's biopsy sample was inadequate



**Figure 1** Best response of target lesions (RECIST 1.1) in all patients with a radiographic assessment of response. Four patients without follow-up imaging were excluded.



**Figure 2** CNS responses in two patients with untreated brain metastases on pulse-continuous erlotinib.

for molecular analysis. 14 of 18 (78%, 95% CI 54–91%) patients had EGFR T790M identified in their rebiopsy specimen. Of the 18 patients, 11 had next generation sequencing on their re-biopsy sample, and four had a paired pre-treatment tumor sample as well (supplementary Table S2, available at *Annals of Oncology* online). Multiple concurrent mutations were found in both baseline and acquired resistance tumor samples in addition to the sensitizing EGFR mutation, which was identified in all samples. No patients had evidence of pre-treatment EGFR T790M. Acquired molecular alterations not present in baseline samples included previously reported HER2 and MET amplification [27, 28], as well as amplification in RAD21, SDHA, TERT, IL7R and RICTOR, and mutations in RET E164K, CENPA E50fs, and PLCG2 P999T.

### Patient disposition

As of 1 December 2015, six patients remain on study. Nineteen patients discontinued study therapy because of progressive

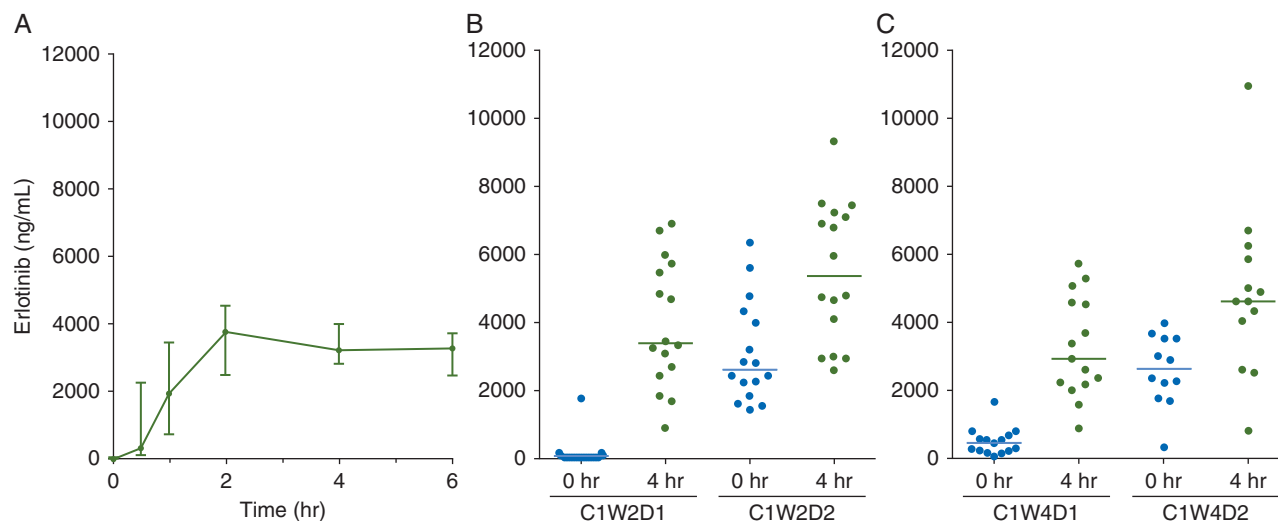
disease, and six others for adverse events. Two patients stopped study therapy due to noncompliance and one due to an unrelated gastric adenocarcinoma.

### Pharmacokinetic analysis

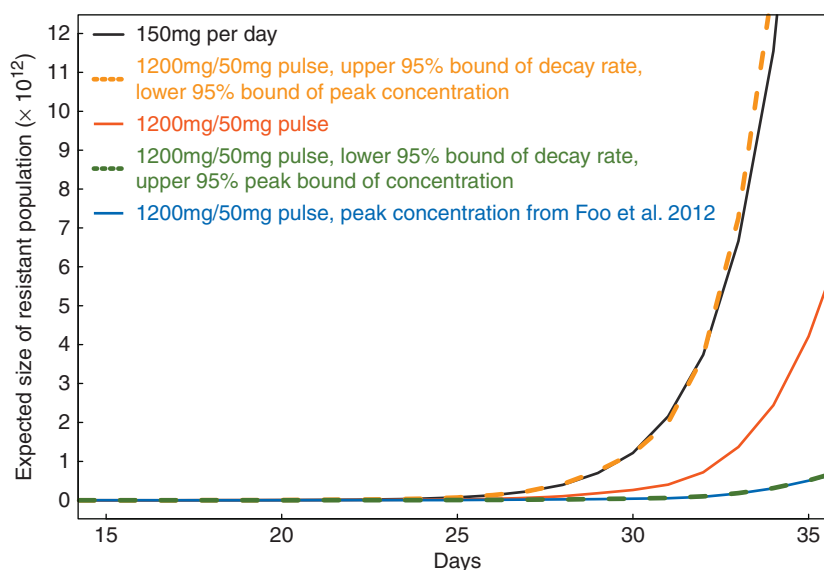
Median plasma concentration–time profiles are shown in Figure 3 with individual patients' data in supplementary Figure S1, available at *Annals of Oncology* online. There was significant inter-patient variability in erlotinib plasma concentrations. No significant increases in peak plasma concentration was observed with increasing pulse doses of erlotinib from 600 to 1350 mg, at any time point examined during Cycle 1. This finding was also confirmed by analysis of the major erlotinib drug metabolites (OSI-420 and M11), which showed no dose-dependence with increasing pulse doses of erlotinib. The peak plasma concentration occurred after the second day of weekly pulse dose at Week 2, with median plasma concentration at 4-h post-administration reaching 5393 ng/ml (range 2600–9355 ng/ml),  $\sim 13.7 \mu\text{M}$ ,  $> 5$  times the peak plasma concentration seen with standard erlotinib 150 mg daily dosing [29]. The median plasma trough concentration before the pulse dosing at the start of week 4 was 435 ng/ml (range: 29–1655 ng/ml), a concentration of  $1.1 \mu\text{M}$ , consistent with the 24-h trough plasma concentrations observed following standard erlotinib 150 mg daily dosing [29].

### Modeling tumor evolutionary dynamics

We utilized a multi-scale modeling platform consisting of a time-inhomogeneous stochastic birth–death process describing the behavior of sensitive and resistant tumor cells [30, 31] as well as a pharmacokinetic model [32] to monitor the expected number of resistant cells for two different treatment regimens: 150 mg daily versus 1200 mg twice weekly pulse and 50 mg daily dosing. The *in vivo* drug concentrations for 1200 mg pulse doses were calculated based on the erlotinib decay rate and peak concentration parameters estimated from our patient cohort (supplementary Figures S1 and S2, available at *Annals of Oncology* online). Since insufficient data was available for the 150 and 50 mg doses, we



**Figure 3** Plasma concentration curves for erlotinib after multiple dosing. Blood samples were collected during (A) cycle 1 week 1 day 1 at 0.5, 1, 2, 4, and 6 h after the first dose; before and 4 h after erlotinib dosing on (B) cycle 1 week 2 day 1 and 2 and (C) cycle 1 week 4 day 1 and 2.



**Figure 4** Mathematical modeling prediction of the expected number of resistant tumor cells under different dosing regimens. Black: 150 mg/day; Blue: 1200 mg/50 mg pulse dose with peak concentration after single dose of 1200 mg inferred from Foo et al. [32]; Red: 1200 mg/50 mg pulse dose with decay rate and peak concentration after single dose of 1200 mg inferred from the current cohort; Orange: 1200 mg/50 mg pulse dose with upper 95% bound of decay rate and lower 95% bound of peak concentration inferred from the current cohort; Gold: 1200 mg/50 mg pulse dose with lower 95% bound of decay rate and upper 95% bound of peak concentration inferred from the current cohort.

utilized PK parameters for those concentrations from previous work [32]. We then calculated the expected number of resistant cells over time for the pulse continuous dosing regimen for both the parameter point estimates from our current cohort and previous work [32] (Figure 4). Furthermore, we explored how the dynamics varied when using (i) the lower 95% bound of the decay rate and upper 95% bound of the peak concentration and (ii) the upper 95% bound of the decay rate and lower 95% bound of peak concentration (Figure 4). Interestingly, when investigating the upper 95% bound of the decay rate and lower 95% bound of peak concentration after a single dose of 1200 mg erlotinib, we found that the expected number of resistant cells exceeds the predicted number for the 150 mg daily dosing regimen. This effect arises

since there is such a large extent of variability in pharmacokinetics across our patients. The varied dynamics due to the heterogeneous drug concentrations among patients suggests the necessity of identifying optimum treatment regimens on a patient-per-patient basis as well as evaluating larger patient cohorts for efficacy.

## Discussion

This work represents the first time evolutionary cancer modeling has been used to optimize dosing of a targeted therapy. This dosing schedule did not delay the development of resistance or

prevent the emergence of EGFR T790M despite validation *in vitro* and *in vivo* pre-clinical models. A likely explanation is that we were unable to obtain high enough peak plasma concentrations in patients to correspond to the peak concentrations utilized in the pre-clinical models. The pulse and low-dose concentrations used in the pre-clinical models were 20 and 1  $\mu\text{M}$ , while the median peak and trough concentration obtained at the MTD in our study was 13.7 and 1.1  $\mu\text{M}$ , respectively. While toxicity prevented exploration of higher pulse dose levels, there was no significant increase in plasma peak concentration above the pulse dose of 600 mg, possibly due to limitations in drug absorption, clearance, or metabolism. Even if toxicity were not an issue at higher dose levels, it is uncertain whether shorter dosing intervals or higher doses would result in the peak plasma concentrations required to delay EGFR T790M. The peak concentrations of erlotinib required to delay EGFR T790M in the pre-clinical model do not appear achievable clinically. Furthermore, there was a significant inter-patient PK variability, resulting in difficulty identifying significant effects in a relatively small patient cohort. PK assessments were only completed during cycle 1 so inter-patient PK variability was not assessed and is a limitation of our analysis.

Pulse-continuous erlotinib had moderate toxicity when compared to studies of standard daily dose erlotinib [33, 34]. Toxicity was not markedly increased despite patients receiving 2.5 times the standard weekly dose and a 5-times increase in the median peak plasma concentration of erlotinib compared to standard dosing [29]. Pulse dosing may result in decreased toxicity compared to the same large amount of drug divided among equal daily doses, as side effects may be related to drug trough or steady state concentrations, rather than peak concentrations. This observation is corroborated by our previous study of once weekly pulse dose erlotinib at 2000 mg which also showed a similar toxicity profile to standard daily dose erlotinib. Our current study demonstrates that pulse-continuous erlotinib is a feasible dosing schedule that is tolerated by most people.

The efficacy of pulse-continuous erlotinib was similar to standard dose erlotinib. The overall response rate was 74% (95% CI 60–84%) and the median progression free survival was 9.9 months (95% CI 5.8–15.4 months). The dose and schedule of erlotinib assessed in this clinical trial did not improve response rates, prolong progression-free survival or delay systemic resistance. The 78% (95% CI 54–91%) frequency of EGFR T790M at the time of acquired resistance was comparable to what is seen with standard dosing. A larger study sample would be required to assess whether the frequency of EGFR T790M was significantly different with pulse continuous dosing compared to standard dosing. The aim of this study was to delay EGFR T790M-mediated resistance, and a limitation of the study is that it did not attempt to address other mechanisms of resistance to EGFR inhibition.

This is the first trial that reports the pre-treatment incidence of CNS involvement in study subjects and also the rate of CNS progression on an EGFR TKI therapy. None of the reports of large prospective studies of EGFR tyrosine kinase inhibitors include this information [1, 2, 34, 35]. As site of failure has prognostic as well as treatment implications, we recommend that CNS failure should be explicitly reported in all future studies in lung cancers. For EGFR-mutant lung cancers, understanding the CNS efficacy of drugs is critically important and will help us choose among drugs that may have similar systemic efficacy.

Patients with EGFR-mutant cancers may be at greater risk for CNS involvement with the cumulative incidence of CNS metastases approaching 60% [20–22, 36–38]. New EGFR TKIs such as AZD3759 are being developed specifically for their superior CNS penetration and activity, but robust efficacy data is not yet available [39]. Our preliminary data suggests that the CNS efficacy of this dosing schedule may be superior to standard dosing; this finding needs to be tested in a larger cohort of patients. No patients had progression in pre-existing brain metastases or developed new brain metastases while on study. The pulse dosing allows for increased CNS penetration and continued daily dosing maintains systemic control of disease. Since our dosing schedule may delay or prevent CNS progression in patients with EGFR-mutant lung cancers, we are currently studying this regimen in a cohort of patients with EGFR-mutant lung cancers with untreated measurable systemic and CNS metastases.

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## Disclosure

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