Integrative Analysis of 1q23.3 Copy-Number Gain in Metastatic Urothelial Carcinoma

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Integrative Analysis of 1q23.3 Copy-Number Gain in Metastatic Urothelial Carcinoma


Departments of 1Biostatistics and Computational Biology, and 2Medical Oncology; 3Center for Molecular Oncologic Pathology; 5Department of Pathology; 6Translational Medicine Division, Brigham and Women’s Hospital, Boston; 9Dana-Farber Cancer Institute; 10Department of Urology, Memorial Sloan-Kettering Cancer Center; and 11Hospital del Mar Research Institute-IMIM, Barcelona; and 12Hospital Parc Tauli, Sabadell, Spain

Abstract

Purpose: Metastatic urothelial carcinoma of the bladder is associated with multiple somatic copy-number alterations (SCNAs). We evaluated SCNAs to identify predictors of poor survival in patients with metastatic urothelial carcinoma treated with platinum-based chemotherapy.

Experimental Design: We obtained overall survival (OS) and array DNA copy-number data from patients with metastatic urothelial carcinoma in two cohorts. Associations between recurrent SCNAs and OS were determined by a Cox proportional hazard model adjusting for performance status and visceral disease. mRNA expression was evaluated for potential candidate genes by NanoString nCounter to identify transcripts from the region that are associated with copy-number gain. In addition, expression data from an independent cohort were used to identify candidate genes.

Results: Multiple areas of recurrent significant gains and losses were identified. Gain of 1q23.3 was independently associated with a shortened OS in both cohorts [adjusted HR, 2.96; 95% confidence interval (CI), 1.35–6.48; \( P = 0.01 \) and adjusted HR, 5.03; 95% CI, 1.43–17.73; \( P < 0.001 \)]. The F11R, PFDN2, PPOX, USP21, and DEDD genes, all located on 1q23.3, were closely associated with poor outcome.

Conclusions: 1q23.3 copy-number gain displayed association with poor survival in two cohorts of metastatic urothelial carcinoma. The identification of the target of this copy-number gain is ongoing, and exploration of this finding in other disease states may be useful for the early identification of patients with poor-risk urothelial carcinoma. Prospective validation of the survival association is necessary to demonstrate clinical relevance. Clin Cancer Res; 20(7); 1–11. ©2014 AACR.

Introduction

Of the 75,000 new cases of urothelial carcinoma that are diagnosed annually in the United States (1), approximately 40% develop invasive and/or metastatic urothelial carcinoma. Metastatic urothelial carcinoma remains incurable in the vast majority of patients with a median survival of approximately 6 months without and 14 months with treatment (2). Unlike other solid tumors, targeted therapies thus far have failed to advance the standard of care for urothelial carcinoma. Thus, there is an urgent need for new biomarkers and treatment approaches.

Although multiple genetic alterations associated with urothelial carcinoma development and progression have been identified, there is still a limited understanding of those changes that lead to poor prognosis in metastatic disease. For patients with metastatic urothelial carcinoma, prognosis is primarily determined by clinical factors, such as performance status, visceral metastases, hemoglobin level, or liver metastases (3, 4). However, the variability of outcomes even within these groups is significant, and the ability to accurately predict survival and progression of disease is limited. Similarly, although multiple potential tissue-based biomarkers have been proposed in bladder cancer, none so far have been successfully validated.

Comparative genomic hybridization (CGH) studies of bladder carcinomas and cell lines have revealed recurrent
Translational Relevance

No validated molecular biomarkers exist for predicting prognosis in patients with metastatic urothelial carcinoma. To identify genomic predictors of poor outcome in metastatic disease, we evaluated DNA copy-number alterations of primary tumors of patients who developed metastatic urothelial carcinoma. Although many regions showed copy-number gain and loss, gain of a short segment of chromosome 1q23.3, one of the most frequent alterations in urothelial carcinoma, was determined to confer a poor prognosis independent of known prognostic factors, and externally validated in a cohort of primary and metastatic tumors. To elucidate the mechanism underlying this finding, an integrated analysis of this region was undertaken, which suggests that F11R, PVRL4, PEDN2, PPOX, USP21, and DEDD may play a pathogenic role in the aggressiveness of urothelial carcinoma. Further studies into the underlying biology are ongoing.

genetic aberrations, including gains on 8q22–24, 11q13, 17q21, and losses involving chromosomes 9, 8p22–23, and 17p (5–8). Some of these changes have since been associated with the pathologic stage of and/or outcome from bladder cancer in diverse clinical cohorts (9, 10). The advent of high-resolution mapping array-based CGH (aCGH) has further facilitated the molecular delineation of gained and lost regions to the level of specific candidate genes (5, 7, 11). However, molecular markers of poor outcome in metastatic urothelial carcinoma remain largely elusive. Furthermore, novel therapeutic approaches are needed, as there have been no major treatment advances for metastatic urothelial carcinoma in 20 years.

We evaluated genomic copy-number gains and losses using oligonucleotide aCGH in 94 primary tumors from a clinically annotated cohort of patients with urothelial carcinoma who went on to develop metastatic disease. Using this unbiased approach, we screened for somatic gains and losses to identify loci strongly associated with poor survival. We identified copy-number gain of 1q23.3 as being associated with poor survival. In an independent cohort, we found similar survival associations with 1q23.3 copy-number gain. We identified 1q23.3 candidate driver genes by mRNA expression in these patients and in a third independent cohort.

Patients and Methods

Patients

Patients with metastatic bladder cancer were identified from two cohorts (Table 1). These cohorts, one from Spain (“Spanish cohort”) and the other from the Dana-Farber Cancer Institute (Boston, MA; “DFCI cohort”) included patients who either presented with metastatic disease or subsequently developed metastatic disease after local therapy. The Spanish cohort consisted of primary urinary tract tumors, whereas the DFCI cohort consisted of both primary ($n = 16$) and metastatic ($n = 18$) tumors due to sample availability (see Table 1). All specimens were formalin-fixed and paraffin-embedded (FFPE) blocks. Slides were evaluated by genitourinary (GU) pathologists (D.M. Berman and J.A. Barletta) and tumor-bearing 0.6-mm cores were punched and processed for DNA and RNA extraction. All cases were collected under protocols approved by the Institutional Review Board (IRB) at the different institutions, de-identified, and approved for use by the DFCI IRB.

Previously published gene expression data from patients who developed metastatic disease [Memorial Sloan-Kettering Cancer Center (MSKCC; New York, NY) cohort; $n = 37$; ref. 12] were used to explore associations between the mRNA expression of genes located in somatic copy-number alterations (SCNA) identified in the discovery and validation cohorts, and overall survival (OS). We also obtained copy-number data from The Cancer Genome Atlas (TCGA) bladder cancer analysis (TCGA cohort; $n = 99$; included here with permission from TCGA), profiled with Affymetrix Genome-Wide Human SNP Arrays 6.0.

Array comparative genomic hybridization

aCGH was performed using genomic DNA isolated from primary tumors (Spanish cohort) and karyotypically normal reference genomic DNA (Promega). The analysis was performed using Agilent Oligonucleotide Human Genome 180K CGH arrays. DNA was extracted from tumors using the QiAamp DNA FFPE Tissue Kit (Qiagen). The Genomic DNA ULS Labeling Kit for FFPE Samples (Agilent Technologies, Inc.) was used to chemically label 500 ng of DNA with either ULS-Cy5 (tumor) or ULS-Cy3 dye (normal/reference DNA) following the manufacturer’s protocol. Samples were hybridized to the Agilent SurePrint G3 Human CGH Microarray $4 \times 180K$. DNA samples were hybridized to the array using an Agilent microarray hybridization chamber for 40 hours in a Robbins Scientific oven with rotation at 20 rpm at 65°C. After hybridization, the slides were washed and scanned using an Agilent DNA microarray scanner. CGH Analytics software (version 3.4; Agilent Technologies) was used to analyze the aCGH data.

Molecular inversion probe arrays

DNA from primary tumor and metastatic specimens in the DFCI cohort was hybridized to Affymetrix OncoScanTM FFPE Express 2.0 SNP MIP (molecular inversion probe) arrays with 334,183 copy-number and single-nucleotide polymorphism probes (13). Matched normals were available for 23 samples. Copy numbers were estimated with the NEXUS software. Only samples that passed Affymetrix quality control metrics (median absolute pairwise difference value of $\leq 0.6$) were considered.

NanoString

mRNA was extracted from tumor specimens using standard protocols. Oligonucleotide probes for genes on 1q23.3 were synthesized by NanoString Technologies, and
Table 1. Patient demographics and characteristics

<table>
<thead>
<tr>
<th></th>
<th>Spanish aCGH</th>
<th>DFCI MIP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pathologic stage&lt;sup&gt;a&lt;/sup&gt;</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stage 0 (Ta)</td>
<td>10 (11%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Stage I (T1)</td>
<td>5 (5%)</td>
<td>1 (3%)</td>
</tr>
<tr>
<td>Stage II (T2)</td>
<td>45 (48%)</td>
<td>1 (3%)</td>
</tr>
<tr>
<td>Stage III (T3, T4)</td>
<td>28 (30%)</td>
<td>13 (38%)</td>
</tr>
<tr>
<td>Stage IV</td>
<td>5 (5%)</td>
<td>10 (29%)</td>
</tr>
<tr>
<td>Missing</td>
<td>1 (1%)</td>
<td>9 (26%)</td>
</tr>
<tr>
<td>Metastatic sites</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Local</td>
<td>16 (17%)</td>
<td>9 (26%)</td>
</tr>
<tr>
<td>Bone</td>
<td>13 (14%)</td>
<td>5 (15%)</td>
</tr>
<tr>
<td>Liver</td>
<td>8 (9%)</td>
<td>9 (26%)</td>
</tr>
<tr>
<td>Lymph nodes</td>
<td>36 (38%)</td>
<td>13 (38%)</td>
</tr>
<tr>
<td>Lung</td>
<td>7 (7%)</td>
<td>13 (38%)</td>
</tr>
<tr>
<td>Others</td>
<td>9 (10%)</td>
<td>9 (26%)</td>
</tr>
<tr>
<td>Unknown</td>
<td>5 (5%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Visceral disease</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>34 (36%)</td>
<td>19 (56%)</td>
</tr>
<tr>
<td>No</td>
<td>60 (64%)</td>
<td>15 (44%)</td>
</tr>
<tr>
<td>Unknown</td>
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<td></td>
</tr>
<tr>
<td>ECOG performance status</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>34 (36%)</td>
<td>15 (44%)</td>
</tr>
<tr>
<td>&gt;0</td>
<td>60 (63%)</td>
<td>17 (50%)</td>
</tr>
<tr>
<td>Unknown</td>
<td>2 (6%)</td>
<td></td>
</tr>
<tr>
<td>Survival</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Died</td>
<td>46 (49%)</td>
<td>29 (85%)</td>
</tr>
<tr>
<td>Alive</td>
<td>48 (51%)</td>
<td>4 (12%)</td>
</tr>
<tr>
<td>Unknown</td>
<td>1 (3%)</td>
<td></td>
</tr>
</tbody>
</table>

<sup>a</sup>Pathologic stage at time of surgery. All patients either presented with metastatic disease or subsequently developed metastatic disease after local therapy.

transcripts were counted using the automated NanoString nCounter system. Counts were normalized with the nSolver Analysis Software (v1.0) in which mRNA expression was compared with internal NanoString controls, several housekeeping (ACTB, GAPDH, HPRT1, LDHA, PFKP, PGAM1, STAT1, TUBA4A, and VIM) and invariant genes in bladder cancer (ANGEL1, DDX19A, NAGA, RPS10, RPS16, RPS24, and RPS29). These invariant genes were identified by analyzing gene expression variances in several published datasets (12, 14, 15). After removing low-quality samples flagged by the nSolver software, matched mRNA and copy-number data were available for 79 patients in the Spanish cohort and 24 patients in the DFCI cohort.

Data availability

Raw and processed copy-number data of the aCGH and MIP cohort are available at the Gene Expression Omnibus accession number GSE39282.

Clinical endpoints

To provide uniformity within the cohort, OS was determined from the start of first-line platinum-based chemotherapy for metastatic disease in the Spanish cohort. These dates were often unavailable for the DFCI cohort because of differences in patterns of care between the two cohorts. In the Spanish institutions, chemotherapy was administered at the center that contributed the specimens leading to improved quality of data about primary therapy and treatment start and end dates. At DFCI, the specimens were obtained from patients who were largely referred in for either surgical care or consideration of clinical trials. Due to the unavailability of initial chemotherapy treatment dates for most patients in the DFCI MIP and MSKCC cohorts, OS in these cohorts was defined as starting from the date of metastatic recurrence. The median time difference from diagnosis of metastasis to start of treatment was 1.2 months in the DFCI cohort for the 18 patients for which both dates were available, suggesting this difference is not clinically significant and that date of metastatic diagnosis was reasonable to use for these patients. Because OS and disease-specific survival are nearly identical in metastatic urothelial carcinoma, the former endpoint was chosen. Performance status was assessed at start of chemotherapy (Spanish) or at diagnosis of metastatic disease (DFCI).

Recurrent copy-number alterations

Normalized copy-number data were segmented using GLAD (16) with default parameters available in GenePattern version 3.3.3. Genomic Identification of Significant Targets in Cancer (GISTIC) software (v2.0.12; ref. 17) was then used to identify regions of the genome that were significantly gained or deleted across a set of samples. The software estimated false discovery rates (FDR; q values), as well as potential targets (drivers) of the aberrations. Threshold for copy-number gain and loss was set so that approximately 99% of all segments in normal samples were below this threshold (∼0.15 for the Spanish and TCGA cohorts and ∼0.25 for the DFCI cohort). GISTIC defines broad alterations as those spanning over more than a specified percentage of the chromosome arm, and we set this parameter to the commonly used 50%.

Statistical analysis

Copy-numbers of significantly gained or deleted regions (q value <0.25) were dichotomized on the basis of the standard GISTIC cutoffs for amplifications or deletions (log base 2 ratio >0.9 or <−1.3, respectively). Cox proportional hazard models were used to assess the associations of these regions with OS from either the time of initiation of chemotherapy for metastatic disease (Spanish cohort) or diagnosis of metastatic disease (DFCI cohort). Cox P values in the Spanish discovery cohort were adjusted for multiple comparisons using the method by Benjamini and Hochberg (18). Regions that were associated with OS were further adjusted for Eastern Cooperative Oncology Group (ECOG) performance status (>0) and presence of visceral metastasis, known prognostic factors for outcome in metastatic disease.
Correlation with copy number and normalized NanoString read counts was calculated using the Spearman rank correlation. Statistical significance in the correlation analysis was estimated with the DNA/RNA-Integrator (DRI) R package (19). To test for an association with survival, square-root-transformed normalized read counts were used in univariate Cox regressions. The MSKCC expression data cohort was obtained from GEO (GSE31684; ref. 12) and tested for OS association with univariate Cox regressions.

Results

Clinical outcomes

For the Spanish and DFCI cohorts, median OS was 15.1 and 16.5 months, respectively. There were significant differences between the cohorts in the percentage of patients with visceral metastases and poor performance status. Table 1 summarizes patient characteristics for both cohorts.

Recurrent chromosomal gains and losses

Using the GISTIC algorithm (17), we identified 96 focal (<50% of a chromosome arm) and 22 broad (>50% of a chromosome arm) events in the Spanish cohort and 39 focal and 13 broad events in the DFCI cohort (Fig. 1A and Supplementary Figs. S1–S5). Confirming and extending prior work (11, 20, 21), we found frequent losses of chromosomes 5q (29%), 8p (55%), 9 (p, 36%; q, 27%), 10q (31%), 11p (34%), 17p (36%), and 22q (32%) and recurrent gains of chromosomes 3q (32%), 5p (36%), 8q (41%), 19q (23%), and 20 (40%; Supplementary Tables S1 and S2). The broad copy number events were similar across the two cohorts, with only the 3q arm displaying borderline significant differences (FDR, 0.05; Student t test).

For focal events, we focused on frequent and potentially targetable gains and amplifications. The most frequent focal gains found in the Spanish and DFCI cohorts included the YWHAZ (62%), MYC (52%), E2F3/SOX4 (47%), ERBB2 (40%), and PPARG (42%) loci (Table 2). Other alterations that were altered in a significant fraction of cases include known oncogenes such as FGFR1, MDM2, and AKT2. In contrast with previous reports (21–23), we could not identify strong clusters in our cohorts (Fig. 1B and C), likely due to the homogeneity of the cohorts because all of these patients developed metastatic disease.

Associations of SCNAs with OS

No broad copy-number event was significantly associated with OS in the Spanish cohort (Supplementary Tables S1 and S2). Chromosomal instability as measured by the fraction of genome altered (21) was neither associated with initial pathologic stage, tumor site (primary vs. metastasis), nor OS (Supplementary Fig. S6).

With regard to focal events, we found that only the MCL1 locus 1q21.2 and the 1q23.3 region (chromosome 1:147645–159407Mb; human genome build 18; Supplementary Fig. S7) were independently associated with OS after adjusting for the relevant confounding characteristics ECOG performance status and visceral metastasis (FDR, 0.11; Supplementary Table S3). The locus 1q23.3 [adjusted HR, 2.96; 95% confidence interval (CI), 1.35–6.48; P = 0.007] represented the GISTIC peak region with the lowest, most significant q value and largest number of copy-number amplifications across all patients, and thus, we focused further analysis on this region. This locus was gained (log base 2 ratio > 0.15) in 40% of all patients and amplified in 16% (log base 2 ratio > 0.9; Supplementary Table S4). Amplification of 1q23.3 was not associated with initial pathologic stage at time of surgery (OR, 1.17; 95% CI 0.22–12.1 for pT ≥ 2 vs. pT < 2). Validation of the 1q23.3 amplification showed it was significantly associated with OS after recurrence in the DFCI cohort (n = 33; adjusted HR, 5.03; 95% CI, 1.43–17.73; P = 0.012; Fig. 2). In the 100 available matched normal samples from all cohorts, we found no evidence of germline alterations of 1q23.3.

Gain of 1q23.3 is often accompanied by gain of the MCL1 locus 1q21.2, one of the most frequent copy-number alterations across all cancer types (24). Gains and amplifications were more frequent at 1q23.3 than at 1q21.2 in the cohorts presented here and in other studies (e.g., refs. 10, 22), which demonstrated a driver role of 1q23.3 in urothelial carcinoma progression independent of MCL1.

1q23.3 analysis

To identify potential targets of 1q23.3 copy-number gain, we examined the GISTIC wide peak regions in three independent urothelial carcinoma cohorts: the Spanish aCGH, DFCI, and TCGA cohorts (Fig. 3 and Supplementary Fig. S8). We identified three different peak regions, each of which was observed in at least two patient cohorts (Fig. 3; Supplementary Table S4). Peak 1 was the highest GISTIC peak in the Spanish and TCGA cohorts, indicating the region in 1q23.3 with highest likelihood of harboring driver genes in these two cohorts according to the GISTIC algorithm. Peaks 2 and 3 had weaker associations with OS (Supplementary Tables S5–S6 and Supplementary Figs. S9–S10). Patients with 1q23.3 amplification often had high copy numbers in more than one peak (Supplementary Fig. S11). We then tested for correlation of mRNA expression and copy number in the Spanish and DFCI cohorts and observed similar results (Fig. 3; Supplementary Table S8).

Copy numbers of all genes in peak 1 were highly correlated with expression (FDR < 0.001). Although expression correlation alone is not sufficient to identify driver genes (25), quantitative measures of expression such as quantitative real-time PCR and NanoString can accurately identify genes for which copy-number gains and amplification elevate expression levels significantly. PVRGL and F11R genes displayed the most profound expression changes of all peak 1 genes when we compared patients with normal and high copy-numbers (Supplementary Fig. S12–S13). Genes in peaks 2 and 3 had a weaker copy-number association with expression than genes in peak 1. Similarly, elevated mRNA expression of peak 1 genes, and genes close to this peak, was highly associated with OS (Supplementary Table S7). For 64 genes in the 1q23.3 region, NanoString and copy-number data were available (Supplementary
Table S7; Fig. 3A) and expression of 24 of these genes was associated with survival (FDR < 0.2). Although F11R expression displayed strong association with survival, PVRL4 did not. We then tested PVRL4 survival association on the protein level via immunohistochemical staining in the Spanish cohort and similarly found only a weak survival
association, confirming that PVRL4 is unlikely the main driver of 1q23.3 amplification (Supplementary Fig. S14). Finally, amplification of 1q23.3 was most frequent in peak 1 for the two large cohorts Spanish and TCGA (Fig. 3B).

We then tested whether expression of 1q23.3 genes was associated with survival in an independent cohort of patients who developed metastatic urothelial carcinoma from MSKCC (Table 3) (12). Median survival after recurrence in this MSKCC cohort was 4.9 months and data on follow-up until death were available for all but 1 patient. Copy-number data were not available for this cohort, but the PFDN2, PPOX, USP21, and DEDD genes, all located in the 1q23.3 region of the first peak, showed highly correlated patterns of gene expression (pairwise \(P > 0.62\); Supplementary Fig. S15A). These high correlations of gene expression were only observed in Spanish and DFCI cohort patients with 1q23.3 copy-number gain (Supplementary Fig. S16), implying that those MSKCC samples with correlated overexpression of all of these genes also had copy-number gain of the 1q23.3 locus. Overexpression of all four genes was associated with shorter survival after metastatic recurrence, but not with survival after cystectomy (Fig. 2; Supplementary Table S8). This is consistent with the findings from the Spanish and DFCI cohorts. Expression of MCL1, the FCGR

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### Table 2. Focal gains

| Chromosomes | Spanish cohort | | | DFCI cohort | | | Possible target genes
<table>
<thead>
<tr>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Frequency</td>
<td>(q) value</td>
<td>Frequency</td>
<td>(q) value</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1p34.2</td>
<td>14 (14.9%)</td>
<td>&lt;0.001</td>
<td>14 (41.2%)</td>
<td>0.006</td>
<td>MYCL1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1q23.3</td>
<td>53 (56.4%)</td>
<td>&lt;0.001</td>
<td>18 (52.9%)</td>
<td>0.011</td>
<td>F11R, ARHGAP30, PFDN2, PPOX, USP21, DEDD, PVRL4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3p25.1</td>
<td>40 (42.6%)</td>
<td>&lt;0.001</td>
<td>16 (47.1%)</td>
<td>&lt;0.001</td>
<td>PPARG</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5p15.33</td>
<td>3 (34%)</td>
<td>0.036</td>
<td>20 (58.8%)</td>
<td>0.066</td>
<td>SDHA, SLC9A3, TERT, TRIP13, PDCD6, SLC12A7, CLPTM1L, NDK2, LOC116349, CCDC127, PLEKHG4B, SLC6A19, SLC6A18, LOC389257</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6p22.3</td>
<td>44 (46.8%)</td>
<td>&lt;0.001</td>
<td>13 (38.2%)</td>
<td>&lt;0.001</td>
<td>E2F3, SOX4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6p23</td>
<td>30 (31.9%)</td>
<td>0.016</td>
<td>12 (35.3%)</td>
<td>0.024</td>
<td>CD83, CCDC90A, RNF182</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7p21.1</td>
<td>35 (37.2%)</td>
<td>0.02</td>
<td>12 (35.3%)</td>
<td>&lt;0.001</td>
<td>AHR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8p11.23</td>
<td>63 (67%)</td>
<td>&lt;0.001</td>
<td>12 (35.3%)</td>
<td>0.005</td>
<td>ADAM18, HOOK3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8p12</td>
<td>29 (30.9%)</td>
<td>&lt;0.001</td>
<td>11 (32.4%)</td>
<td>0.001</td>
<td>FGFR1, WHSC1L1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8q22.3</td>
<td>58 (61.7%)</td>
<td>&lt;0.001</td>
<td>21 (61.8%)</td>
<td>&lt;0.001</td>
<td>YWHAZ, PABPC1, SNX31</td>
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<td></td>
</tr>
<tr>
<td>8q24.13</td>
<td>49 (52.1%)</td>
<td>0.008</td>
<td>20 (58.8%)</td>
<td>0.045</td>
<td>MYC</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10p14</td>
<td>37 (39.4%)</td>
<td>&lt;0.001</td>
<td>15 (44.1%)</td>
<td>0.024</td>
<td>GATA3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11q13.2</td>
<td>30 (31.9%)</td>
<td>0.02</td>
<td>14 (41.2%)</td>
<td>&lt;0.001</td>
<td>CCND1</td>
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<tr>
<td>12q15</td>
<td>17 (18.1%)</td>
<td>&lt;0.001</td>
<td>11 (32.4%)</td>
<td>0.003</td>
<td>MDM2</td>
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</tr>
<tr>
<td>17q12</td>
<td>38 (40.4%)</td>
<td>&lt;0.001</td>
<td>11 (32.4%)</td>
<td>0.019</td>
<td>EBBB2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>18p11.31</td>
<td>31 (33%)</td>
<td>0.026</td>
<td>10 (29.4%)</td>
<td>0.005</td>
<td>TGIF1, MYOM1, DLGAP1, MRCL3, MRLC2, FLJ35776</td>
<td></td>
<td></td>
</tr>
<tr>
<td>19q13.2</td>
<td>32 (34%)</td>
<td>&lt;0.001</td>
<td>18 (52.9%)</td>
<td>0.002</td>
<td>AKT2, ZNF331</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTE:** The table shows significant (\(q\) value <0.25) GISTIC focal gains in the Spanish and DFCI cohorts. Multiple peaks in one chromosome band were combined and the minimum \(q\) value is shown.

**a** Either known cancer genes (48) within the region or GISTIC predictions.

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### Table 3. Association between 1q23.3 amplification and survival

<table>
<thead>
<tr>
<th>Model</th>
<th>Characteristic</th>
<th>Spanish aCGH ((n = 94))</th>
<th>DFCI MIP ((n = 33))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unadjusted</td>
<td>1q23.3 Amp</td>
<td>Yes vs. no</td>
<td>2.4 (1.18–4.86)</td>
</tr>
<tr>
<td>Adjusted</td>
<td>1q23.3 Amp</td>
<td>Yes vs. no</td>
<td>2.96 (1.35–6.48)</td>
</tr>
<tr>
<td></td>
<td>ECOG PS</td>
<td>&gt;1 vs. 0</td>
<td>1.95 (1–3.81)</td>
</tr>
<tr>
<td></td>
<td>Visceral disease</td>
<td>Yes vs. no</td>
<td>2.39 (1.26–4.51)</td>
</tr>
</tbody>
</table>

**NOTE:** The table shows the HRs for 1q23.3 amplification in all cohorts. Abbreviations: PS, performance status.
family genes and PBX1, the genes found at the other peaks identified in 1q21.2 and 1q23.3, was not associated with OS in the MSKCC cohort (Supplementary Table S9).

Discussion

Through an unbiased genomic screen of DNA copy number in two distinct cohorts, we have shown that focal copy-number gain of chromosome 1q23.3 is associated with poor OS in patients with metastatic urothelial carcinoma. Gain of 1q23.3 is one of the most frequent copy-number alterations in urothelial carcinoma, is most prevalent in invasive tumors (21, 22), and is further observed in multiple other cancer types (24). This work presents to our knowledge the first attempt in characterizing this major alteration in detail. We found evidence that 1q23.3 amplification could predict outcome independently of previously established prognostic variables, further strengthening the importance of these results. The supporting data from the bladder cancer cohort of

Figure 2. Prognostic utility of 1q23.3 amplification. The figure shows the Kaplan–Meier plot for the Spanish cohort (A), the DFCI MIP cohort (B). C, patients of an independent cohort were stratified by the expression median of the PFDN2 gene, located at the peak of the 1q23.3 amplification.
Figure 3. Potential drivers of the 1q23.3 amplification. A, the figure displays the wide peak amplification regions in three bladder cancer cohorts (Spanish, DFCI, and TCGA). Red peak heights visualize the statistical significance (GISTIC q values). The q value provides an estimate of the likelihood of the observed copy numbers at the corresponding locus in the cohort; the higher the peak, the higher the probability is that this SCNA has a driver role. The DFCI q values are lower due to the smaller sample size, not due to a lower prevalence of this peak in this cohort. (Continued on the following page.)
metastatic bladder cancer. These data suggest that candidate genes identified through expression profiling of the two cohorts identifying three small peak regions in this large, gene-rich DFCI and TCGA) yielded remarkably consistent results, cohorts with available aCGH copy-number data (Spanish, TCGA identify the same region showing significant copy-number gains.

The precise underlying target for 1q23.3 amplification remains unclear, although target prediction (17) in three cohorts with available aCGH copy-number data (Spanish, DFCI and TCGA) yielded remarkably consistent results, identifying three small peak regions in this large, gene-rich 1q23.3 amplicon (Fig. 3). Several candidates were further identified through expression profiling of the two cohorts presented in this study (Spanish and DFCI) and of a clinically characterized MSKCC cohort of patients with metastatic bladder cancer. These data suggest that candidate genes associated with this phenotype include PVRL4, PFDN2, PPOX, USP21, F11R, and DEDD. Further experimental validation is needed to demonstrate an effect of one or more of these genes in bladder cancer.

In addition to the 1q23.3 findings, this work confirms the presence of focal copy-number gains of potentially drugable oncogenes in metastatic urothelial carcinoma. ERBB2 gains are present in a subset of patients, and may highlight a patient population for which U.S. Food and Drug Administration–approved agents such as trastuzumab and lapatinib may be evaluated. FGER1 and Akt are both targets of ongoing clinical investigation, with agents targeting these pathways in clinical trials. Similarly, CDKN2A deletion, and amplification of CDK4, cyclin D1, and E2F3 are potentially targetable by novel agents inhibiting CDK4.

There are several limitations to this study. Although the association of 1q23.3 copy-number gain with poor outcomes has been shown in unrelated cohorts in this study, the sample sizes for these validation cohorts were relatively small, treatments were nonuniform, and the tissue of origin (primary vs. metastatic) in the DFCI cohort was heterogeneous. Larger validation cohorts are necessary for a demonstration of a potential clinical utility of 1q23.3 as a biomarker. Even then, it is unlikely that this biomarker on its own will provide sufficient accuracy for the clinic, and will need to be complemented with other predictors of outcome in metastatic urothelial carcinoma.

Although the genomic alterations occurring in 1q23.3 seem complex, GISTIC peak 1 appears to be the most consistently altered region and the alteration most strongly associated with poor outcomes. This peak harbors the DEDD, PPOX, USP21, PFDN2, and F11R genes. DEDD associates with caspase-8 and -10, and plays a role in death receptor–mediated apoptosis (26). In addition, it may inhibit Cdk1/cyclin B1 signaling and play a role in cell growth (27). Overexpression of DEDD has further been shown to decrease rates of apoptosis in vitro (28). However, in breast and colon cancer, increased immunohistochemical staining was associated with improved survival, and breast cancer cell lines with overexpression demonstrated decreased metastatic potential (29). PPOX, the protoporphyrinogen oxidase, is involved in heme biosynthesis, and its inactivation causes variegate porphyria (30). USP21 is a ubiquitin-specific protease acting as regulator of centrosome and microtubule structure and is critical for development of radial microtubule formation, and primary cilia formation (31, 32). USP21 is also a major deubiquitinase of histone H2A leading to increased gene transcription (33). PFDN2 is a nuclear chaperone protein that forms a subunit of the prefoldin complex, which stabilizes newly synthesized peptides to facilitate appropriate protein folding, and may be critically important for tubulin folding (34). Another study (35) reported that PFND2 overexpression was strongly associated with disease-specific survival in a cohort of 181 patients with high-grade bladder cancer with mixed pathologic stages. F11R, otherwise known as junctional adhesion molecule A (JAM-A), is involved in the regulation of tight junction development between epithelial cells. Downregulation of JAM-A has been associated with increased invasion, metastasis, poor prognosis, and progression in certain cancers (36–39), whereas other studies suggest an inverse relationship between JAM-A expression and cancer cell invasiveness in vitro (40).

Another gene in within the peak is PVRL4. Intriguingly, the PVR gene encodes for Nectin-4, a cell adhesion molecule involved in E-cadherin–based junctions. It is expressed in embryogenesis as well as in tumors of bladder, ovarian, breast, and lung cancer (41). Somatic missense mutations of this gene have been observed in colon, ovarian, and squamous cell lung cancer (42, 43). Although PVR is not strongly associated with bladder cancer survival on the mRNA and protein level, suggesting that PVRL4 is not a primary target of 1q23.3 amplification, copy-number gains and amplifications increased mRNA levels significantly (Supplementary Fig. S12). Data from other cancers suggest that Nectin-4 is associated with poor outcomes: high levels of this protein have been shown to be associated with poor survival in breast and lung cancer (44, 45). Inhibition of Nectin-4 expression by RNA interference results in decreased lung adenocarcinoma growth, and overexpression results in RAC1 activation which is postulated to lead to increased cellular invasiveness (44). In vitro evaluation will be necessary to further characterize the role of Nectin-4.

The second GISTIC peak contains an immunomodulatory gene cluster encoding low-affinity Fc fragment of IgG receptors (FCGR proteins). These genes also modulate apoptotic responses and are frequently overexpressed as result of 1q23 chromosomal alterations in hematologic malignancies (46). The third GISTIC peak includes the (Continued.) The GISTIC wide peak regions, defined by the algorithm as regions that likely contain driver genes, are colored in dark red. For the Spanish and DFCI cohorts, the correlation coefficients of gene expression and copy number are plotted in green. Genes with very low or even anticorrelation are unlikely driver genes of 1q23.3 amplification. Blue gene names are known cancer genes (48). Supplementary Figure S8 provides heatmaps of this region for the Spanish and DFCI cohort. B. shows the frequency of 1q23.3 amplification in all three cohorts. Peak 1 is marked in black. This plot demonstrates that the frequency of 1q23.3 amplification (log2: copy-number ratio >0.9) is highest in peak 1.
PBX1 pre-B-cell leukemia homeobox transcription factor. Overexpression of PBX1 is associated with reduced metastasis-free survival in estrogen receptor α–positive breast cancer (47). We tested peaks 2 and 3 for association with survival and found a weaker relationship than with the first peak; mRNA expression showed no association with survival (Supplementary Tables S5–S7 and Supplementary Figs. S9–S10).

Metastatic urothelial carcinoma remains a fatal disease in the majority of patients, and identification of novel genomic drivers of this disease may yield new therapeutic opportunities. On the basis of the results of this analysis, genes within 1q23.3 may lead to poor outcomes in a subset of patients with metastatic urothelial carcinoma, and further exploration of this chromosomal region is warranted.

Disclosure of Potential Conflicts of Interest

D. Kwiatkowski is a consultant/advisory board member for Novartis. J. Rosenberg has ownership interest (including patents) in a patent (no financial interest currently). No potential conflicts of interest were disclosed by the other authors.

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